

# CERTIFICATION OF BENEFICIAL OWNER(S)

**Persons opening an account or updating information on behalf of a Legal Entity must provide the following information<sup>1</sup>:**

a. Name and Title of Natural Person opening account or updating information:

\_\_\_\_\_

b. Name, Business Type (LLC, Inc, etc.), Address of Legal Entity for which the account is being opened or information updated:

\_\_\_\_\_

c. The following information for **each** individual\*, if any, who directly or indirectly, through any contract, arrangement, understanding, relationship, or otherwise, owns 25% or more of the equity interests of the Legal Entity listed above.

- **A copy of valid driver's license/state ID/passport is required for each individual listed below.**

| Name | Date of Birth | Address (Residential or Business Address) | For U.S. Persons: Social Security # | For Foreign Persons: Passport # and Country of Issuance <sup>2</sup> |
|------|---------------|---|-------------------------------------|--|
|      |               |   |                                     |  |
|      |               |   |                                     |  |
|      |               |   |                                     |  |
|      |               |   |                                     |  |

\*If no individual meets this definition, please enter "Not Applicable" above **and explain below** (i.e. All <25%; Charity/Non-Profit; etc.):

\_\_\_\_\_

**\*Beneficial Owner Detail:** As applicable, explain any layers of Beneficial Ownership, etc. (For example, ABC Co is 50% owned by 123 Corp. 123 Corp is 50% owned by John Doe; therefore, John is 25% Beneficial Owner of ABC Co.). Use an additional sheet if needed.

\_\_\_\_\_

\_\_\_\_\_

d. The following information for **one** individual with significant responsibility for managing the Legal Entity listed above, such as:

- An executive officer or senior manager (e.g. Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or,
- Any other individual who regularly performs similar functions. (If appropriate, an individual listed under section (c) above may also be listed in this section (d)).
- **A copy of valid driver's license/state ID/passport is required for the individual listed below.**

| Name & Title | Date of Birth | Address (Residential or Business Address) | For U.S. Persons: Social Security # | For Foreign Persons: Passport # and Country of Issuance <sup>2</sup> |
|--------------|---------------|---|-------------------------------------|--|
|              |               |   |                                     |  |

I, \_\_\_\_\_ (*name of natural person opening account or updating information*), hereby certify, to the best of my knowledge, that the information provided above is complete and correct. I further agree to notify Old Second National Bank of any future change in such information.

**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

<sup>1</sup> Modeled from FinCEN Appendix A, Certification Regarding Beneficial Owners of Legal Entity Customers.

<sup>2</sup> In lieu of a passport number, foreign persons may also provide an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.